SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				suer Name and Tic <u>xcyte, Inc.</u> [P	0	Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Fairman Jeff</u>			<u></u>	<u>rej tej mer</u> [*	0,11			Director		% Owner		
(Last) (First) (Middle)				ato of Carliagt Tran	antion (Month		X	Officer (give titl below)		ner (specify ow)		
			ate of Earliest Tran: <mark>26/2021</mark>	Saction (Mont	i/Day/fear)		VP,					
C/O VAXCYTE	1											
353 HATCH DI	RIVE		4.15	Amondmont Data	of Original File	d (Month/Day/Year)	6 India	ridual or laint/Cr		k Applicable		
(Street)			4. II .	Amenument, Date	u Unginai File	u (Mohili/Day/fear)	Line)	vidual or Joint/Gr	oup Filing (Chec	к Арріїсаріе		
FOSTER CITY	CA	94404					X	Form filed by (One Reporting P	erson		
		51101						Form filed by I Person	More than One F	Reporting		
(City)	(State)	(Zip)										
		Table I - Non	-Derivative	Securities Ac	quired, Di	sposed of, or Benefi	icially	Owned				
1 Title of Security	(Instr 3)	2.	Transaction	2A. Deemed	3.	4. Securities Acquired (A) o	or 5	. Amount of	6. Ownership	7. Nature of		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	07/26/2021		М		4,750	A	\$1.79	9,894	D	
Common Stock	07/26/2021		S ⁽¹⁾		4,750	D	\$22.7 ⁽²⁾	5,144	D	
Common Stock								341,227	I	By Fairman Family Trust
Common Stock								50,000	I	By AF 2020 Irrevocable Trust

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	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A contering of the security of the sec			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$1.79	07/26/2021		М			4,750	(3)	05/17/2027	Common Stock	4,750	\$0.00	77,095	D	

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

2. The price reported is a weighted-average price. The shares were sold at prices ranging from \$22.50 to \$22.935. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

3. The shares subject to the option are fully vested and exercisable.

Remarks:

<u>/s/ Ron A. Metzger, Attorney-</u> <u>in-Fact</u> 07/28/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.