SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response	: 0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	
to Section 16. Form 4 or Form 5 obligations may continue. See	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre <u>PICKERING</u>	ss of Reporting Person [*]		2. Issuer Name and <u>Vaxcyte, Inc.</u>		ding Symbol		ationship of Report all applicable) Director	• • • •	o Issuer
(Last) C/O VAXCYTE		ddle)	3. Date of Earliest T 09/25/2023	ransaction (M	onth/Day/Year)	X	Officer (give title below)		er (specify w)
825 INDUSTRI	AL ROAD, STE. 300		4. If Amendment, Da	ate of Original	Filed (Month/Day/Year)	6. Indiv Line)	/idual or Joint/Gro	up Filing (Checl	k Applicable
(Street)						x	Form filed by O	ne Reporting Pe	erson
SAN CARLOS	CA 94	070					Form filed by M Person	ore than One R	eporting
(City)	(State) (Zij))	Check this box t	o indicate that a	action Indication transaction was made pursuant onditions of Rule 10b5-1(c). See	to a conti Instructio	ract, instruction or winn 10.	itten plan that is	intended to
	Table I	- Non-Derivat	tive Securities	Acquired,	Disposed of, or Benef	icially	Owned		
1. Title of Security	(Instr. 3)	2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or		5. Amount of	6. Ownership	7. Nature of

1. Title of Security (Instr. 3)	Date Execution Date, Tra		3. Transa Code (8)		4. Securities Disposed Of		ed (A) or tr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	09/25/2023		S ⁽¹⁾		15,000	D	\$52.4529(2)	400,914	D	
Common Stock	09/25/2023		S ⁽¹⁾		1,309	D	\$52.4243 ⁽³⁾	156,521	I	By Children's Trust ⁽⁴⁾
Common Stock	09/25/2023		S ⁽¹⁾		1,309	D	\$52.4375 ⁽⁵⁾	156,521	I	By Children's Trust ⁽⁶⁾

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Exp		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on June 26, 2023.

2. The price reported is a weighted-average price. The shares were sold at prices ranging from \$51.695 to \$52.66. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

3. The price reported is a weighted-average price. The shares were sold at prices ranging from \$51.96 to \$52.605. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

4. Shares are held directly by a trust for the benefit of the Reporting Person's daughter.

5. The price reported is a weighted-average price. The shares were sold at prices ranging from \$51.96 to \$52.635. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

6. Shares are held directly by a trust for the benefit of the Reporting Person's son.

Remarks:

Grant Pickering, by /s/ Ron A. 09/27/2023

<u>Metzger, Attorney-in-Fact</u> ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.