SEC Form 4

 \Box

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

Estimated average burden

hours per response:

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			0,00				-						
1	s of Reporting Persor	n [*]		2. Issuer Name and Ticker or Trading Symbol Vaxcyte, Inc. [PCVX]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
PICKERING	<u>GKAN1</u>			[]		X	Director		Owner			
(Last)	(First)	(Middle)		e of Earliest Transa /2024	ction (Month/I	Day/Year)	X	Officer (give title below)	Other below	r (specify v)			
C/O VAXCYTE	, INC.		05/11	/2024				CHIEF EXEC	UTIVE OFFIC	CER			
825 INDUSTRIAL ROAD, STE. 300				mendment, Date of	Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)							X	Form filed by On	e Reporting Per	son			
SAN CARLOS	СА	94070						Form filed by Mo Person	ore than One Rep	porting			
(City)	(State)	(Zip)	Rule	e 10b5-1(c)	Transact	ion Indication							
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										ded to			
	Та	able I - No	n-Derivative S	Securities Acq	uired, Dis	posed of, or Benefi	cially	Owned					
1. Title of Security (Instr. 3) 2. Transa Date				2A. Deemed Execution Date.	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3. 4		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect			

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/11/2024		М		65,000	A	\$2.03	477,847	D	
Common Stock								148,670	Ι	By Children's Trust ⁽¹⁾
Common Stock								148,670	Ι	By Children's Trust ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(c.g., puts, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$2.03	03/11/2024		М			65,000	(3)	07/23/2028	Common Stock	65,000	\$0	227,327	D	

Explanation of Responses:

1. Shares are held directly by a trust for the benefit of the Reporting Person's daughter.

2. Shares are held directly by a trust for the benefit of the Reporting Person's son.

3. Stock Option is fully vested and exercisable.

/s/ Ron A. Metzger, Attorney- 03/1

03/13/2024

** Signature of Reporting Person Date

in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.