SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
PICKERING GRANT	Vaxcyte, Inc. [PCVX]	☑ Director ☐ 10% Owner ☑ Officer (give title below) ☐ Other (specify below) CHIEF EXECUTIVE OFFICER

(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)
C/O VAXCYTE, INC.	06/03/2024

825 INDUSTRIAL ROAD, STE. 300

(Street)

SAN CARLOS   CA   94070

(City) (State) (Zip)

4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
	☑ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person

Rule 10b5-1(c) Transaction Indication

☑ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	06/03/2024		S[1]		705	D	$71.436[2]	145,349	I	By Children's Trust[3]
Common Stock	06/03/2024		S[1]		486	D	$72.657[4]	144,863	I	By Children's Trust[3]
Common Stock	06/03/2024		S[1]		117	D	$73.46	144,746	I	By Children's Trust[3]
Common Stock	06/03/2024		S[1]		757	D	$71.428[2]	145,297	I	By Children's Trust[5]
Common Stock	06/03/2024		S[1]		327	D	$72.548[6]	144,970	I	By Children's Trust[5]
Common Stock	06/03/2024		S[1]		224	D	$73.434[7]	144,746	I	By Children's Trust[5]
Common Stock								478,888[8]	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on June 26, 2023.

2. The price reported is a weighted-average price. The shares were sold at prices ranging from $70.98 to $71.85. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

3. Shares are held directly by a trust for the benefit of the Reporting Person's daughter.

4. The price reported is a weighted-average price. The shares were sold at prices ranging from $72.155 to $73.06. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

5. Shares are held directly by a trust for the benefit of the Reporting Person's son.

6. The price reported is a weighted-average price. The shares were sold at prices ranging from $72.13 to $72.75. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

7. The price reported is a weighted-average price. The shares were sold at prices ranging from $73.41 to $73.46. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

8. Includes 1,041 shares acquired under the Issuer's Employee Stock Purchase Plan on May 17, 2024 that were inadvertently not included in Reporting Person's Form 4 filed on May 28, 2024.

/s/ Ron A. Metzger, Attorney-in-Fact	06/05/2024
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.