SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB	APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person [*] <u>PICKERING GRANT</u>		Person [*]	2. Issuer Name and Ticker or Trading Symbol Vaxcyte, Inc. [PCVX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
				X	Director	10% Owner			
(Last)) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (specify below)			
C/O VAXCYTE, INC.			09/26/2022		Chief Executive Officer				
825 INDUSTRI	AL ROAD, S	TE. 300							
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi [,] Line)	vidual or Joint/Group Fil	ing (Check Applicable			
SAN CARLOS	CA	94070		X	Form filed by One Re	porting Person			
			—		Form filed by More th Person	an One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Common Stock	09/26/2022		S ⁽¹⁾		4,773	D	\$24.687 ⁽²⁾	158,057	I	By Children's Trust ⁽³⁾	
Common Stock	09/26/2022		S ⁽¹⁾		227	D	\$25.299 ⁽⁴⁾	157,830	I	By Children's Trust ⁽³⁾	
Common Stock	09/26/2022		S ⁽¹⁾		4,681	D	\$24.676 ⁽⁵⁾	158,149	I	By Children's Trust ⁽⁶⁾	
Common Stock	09/26/2022		S ⁽¹⁾		319	D	\$25.281 ⁽⁷⁾	157,830	I	By Children's Trust ⁽⁶⁾	
Common Stock								652,546	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8) Acq (A) c Disp of (C (Inst								8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

2. The price reported is a weighted-average price. The shares were sold at prices ranging from \$24.215 to \$25.20. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

3. Shares are held directly by a trust for the benefit of the Reporting Person's daughter.

4. The price reported is a weighted-average price. The shares were sold at prices ranging from \$25.26 to \$25.34. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

5. The price reported is a weighted-average price. The shares were sold at prices ranging from \$24.23 to \$25.20. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

6. Shares are held directly by a trust for the benefit of the Reporting Person's son.

7. The price reported is a weighted-average price. The shares were sold at prices ranging from \$25.25 to \$25.34. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

Remarks:

Grant Pickering, by /s/ Ron A. 09/28/2022

<u>Metzger, Attorney-in-Fact</u> ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.