SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5	
bligations may continue. See nstruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres	ss of Reporting Person [*]		2. Issuer Name and Tic <u>Vaxcyte, Inc.</u> [F		g Symbol		tionship of Reportir all applicable) Director	0 ()	lssuer Owner			
(Last) C/O VAXCYTE	. ,	iddle)	3. Date of Earliest Tran 12/22/2023	saction (Mont	h/Day/Year)	X	Officer (give title below) Chief Exec	Other below cutive Officer	,			
825 INDUSTRIA	AL ROAD, STE. 300		4. If Amendment, Date	of Original Fil	ed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Grou	p Filing (Check A	Applicable			
(Street)						X	Form filed by On	e Reporting Per	son			
SAN CARLOS	CA 940	070					Form filed by Mo Person	re than One Rep	porting			
(City)	(State) (Zip	p)	Rule 10b5-1(c) Transa	ction Indication	,						
	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.											
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security	(Instr. 3)	2. Transactio Date (Month/Day/Y	Execution Date,	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/22/2023		М		9,900	A	\$2.03	380,814	D	
Common Stock	12/26/2023		М		10,000	A	\$0.04	390,814	D	
Common Stock	12/26/2023		S ⁽¹⁾		7,450	D	\$61.323(2)	383,364	D	
Common Stock	12/26/2023		S ⁽¹⁾		7,550	D	\$ 62.077 ⁽³⁾	375,814	D	
Common Stock								152,594	I	By Children's Trust ⁽⁴⁾
Common Stock								152,594	I	By Children's Trust ⁽⁵⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$2.03	12/22/2023		М			9,900	(6)	07/23/2028	Common Stock	9,900	\$0.00	292,327	D	
Stock Option (right to buy)	\$0.04	12/26/2023		М			10,000	(6)	04/23/2025	Common Stock	10,000	\$0.00	3,663	D	

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on June 26, 2023.

2. The price reported is a weighted-average price. The shares were sold at prices ranging from \$60.885 to \$61.76. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

3. The price reported is a weighted-average price. The shares were sold at prices ranging from \$61.96 to \$62.17. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

4. Shares are held directly by a trust for the benefit of the Reporting Person's daughter.

5. Shares are held directly by a trust for the benefit of the Reporting Person's son.

6. Stock Option is fully vested and exercisable.

Remarks:

<u>Grant Pickering, by /s/ Ron A.</u> <u>Metzger, Attorney-in-Fact</u>

<u>12/27/2023</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.