FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, | D.C. | 20549 |
|-------------|------|-------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|     | OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|-----|--------------------------|-----------|--|--|--|--|--|--|--|--|
|     | OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|     | Estimated average burden |           |  |  |  |  |  |  |  |  |
| - 1 | hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  PICKERING GRANT |  |              |   |                |                               | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  Vaxcyte, Inc. [ PCVX ] |           |                  |  |         |  |   |                         | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |  |  |  |  |                                       |  |
|---|--|--------------|---|----------------|-------------------------------|--|-----------|------------------|--|---------|--|---|-------------------------|---|--|--|--|--|---------------------------------------|--|
|   | KCYTE, I   |              | (Middle)                                    |                |                               | Date o   |           | est Trans        | saction (Month/Day/Year)                       |         |  |   |                         |   | X Officer (give title Other (special below)  Chief Executive Officer |  |  |  |                                       |  |
| (Street) FOSTER (City)                                    | CITY (   | CA<br>State) | 94404<br>(Zip)                              |                | -   4. I<br>-                 | If Ame   | endme     | nt, Date o       | of Origin                                      | al File | ed (Month/Da                                     | 6. Ind<br>Line)<br>X  | <b>'</b>                |   |  |  |  |  |                                       |  |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |              |   |                |                               |  |           |                  |  |         |  |   |                         |   |  |  |  |  |                                       |  |
| Date  |  |              | 2. Transac<br>Date<br>(Month/Da             |                | /Year)   Execution            |  |           |                  |  |         | es Acquired (A) or<br>Of (D) (Instr. 3, 4 and 5) |   |                         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following                                 |  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                      |                                       |  |
|   |  |              |   |                |                               |  |           | Code             | v  | Amount  | (A) or<br>(D)                                    | Price   | !                       | Reported<br>Transacti<br>(Instr. 3 a  | action(s)  |  |  | (Instr. 4)   |                                       |  |
| Common Stock  |  |              | 11/19/                                      | 2021           | 1                             |  | М         |                  | 15,000   | A       | \$1  | .79   | 595,254                 |   | D  |  |  |  |                                       |  |
| Common Stock 11   |  |              | 11/19/                                      | /2021          |                               |  |           | S <sup>(1)</sup> |  | 9,110   | D  | \$23  | .09(2)                  | 586,144   |  | D  |  |  |                                       |  |
| Common  | Stock  |              |   | 11/19/         | 2021                          |  |           |                  | S <sup>(1)</sup>                               |         | 5,890  | D   | \$2                     | <b>4</b> <sup>(3)</sup>   | 580,   | 580,254 D  |  |  |                                       |  |
| Common Stock  |  |              |   |                |                               |  |           |                  |  |         |  |   |                         |   | 355,   | 660  |  | I  | By<br>Children's<br>Trusts            |  |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |              |   |                |                               |  |           |                  |  |         |  |   |                         |   |  |  |  |  |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)       | 2.<br>Conversio<br>or Exercis<br>Price of<br>Derivative<br>Security  |              | 3A. Deer<br>Execution<br>if any<br>(Month/E | ned<br>n Date, | 4.<br>Transa<br>Code (1<br>8) | ction  | 5. Number |                  | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y |         | isable and                                       | 7. Title and Am<br>of Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |                         | ount 8  | 3. Price of<br>Derivative<br>Security<br>(Instr. 5)                  | 9. Number<br>derivative<br>Securitie<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti<br>(Instr. 4) | e Owner s Form: Direct or Ind g (I) (Ins | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |  |              |   |                | Code                          | v  | (A)       | (D)              | Date<br>Exercis                                | sable   | Expiration<br>Date                               | Title   | or<br>Num<br>of<br>Shar | ber   |  |  |  |  |                                       |  |
| Stock<br>Option<br>(right to<br>buy)                      | \$1.79   | 11/19/2021   |   |                | М                             |  |           | 15,000           | (4)  |         | 05/17/2027                                       | Common<br>Stock   | 15,0                    | 000   | \$0.00   | 328,8  | 15                                       | D  |                                       |  |

## **Explanation of Responses:**

- 1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- 2. The price reported is a weighted-average price. The shares were sold at prices ranging from \$22.58 to \$23.54. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- 3. The price reported is a weighted-average price. The shares were sold at prices ranging from \$23.60 to \$24.51. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- $4. \ \mbox{The shares subject to the option}$  are fully vested and exercisable.

## Remarks:

/s/ Ron Metzger, Attorney-in-

11/23/2021

**Fact** 

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.